

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U15100MH1994PLC080772
2.	Registration Date	02/09/1994
3.	Name of the Company	EMPIRE SPICES & FOODS LIMITED
4.	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES / INDIAN NON-GOVERNMENT COMPANY
5.	Address of the Registered office & contact details	OFF-305, WING A, DAMJI SHAMJI SQUARE, LAXMI NAGAR, GHATKOPAR (EAST), NEAR KANARA ENGINEERING, MUMBAI – 400 075. CONTACT NUMBER: 022 - 25006007 E-MAIL ID: contact@esfl.co.in
6.	Whether listed company	UNLISTED
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	FREEDOM REGISTRY LIMITED PLOT NO. 101/102, M.I.D.C, 19 TH STREET, SATPUR, NASHIK-422 007. TEL. NO. 0253-2354032

II. **PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY** (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the Company
1	Grinding and processing of spices	10795	46.99%
2	Manufacture of papads, papad atta and similar food products	10796	22.14%
3	Manufacture of sauces	10305	4.44%
4.	Manufacture of Pickles, chutneys etc.	10306	25.89%
5.	Trading of Goods	46909	0.54%

III. **PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: NIL**

SN	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION

IV. **SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**
Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year[As on 31-March-2019]				No. of Shares held at the end of the year[As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter s									
(1) Indian									
A) Individual/Huf	NIL	3848630	3848630	79.51	3129420	719210	3848630	79.51	NIL
B) Central Govt	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
C) State Govt (s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
D) Bodies Corp	NIL	1000	1000	0.02	NIL	1000	1000	0.02	NIL
E)Bank/I	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
F) Any Other	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total Of Shareholding Promotor (a)	0	3849630	3849630	79.53%	3129420	720210	3849630	79.53%	NIL
B. Public Shareholding									
1. Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
A) Mutual Fund	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
B) Banks/Fi	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
C) Central Govt	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
D) State Govt (s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
E) Venture Capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
F) Insurance Companies	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
G) Fiis	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
H) Foreign Venture Capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
I) Other (huf)	1500	NIL	1500	0.03%	1500	2000	3500	0.07%	133.33%
1. Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Sub Total (b) (1):-	1500	0	1500	0.03%	1500	2000	3500	0.07%	133.33%
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2. Non-Institutions									
A) Bodies Corp.	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
l) Indian (la Belle Machinery Pvt Ltd & 3a Financial Services Ltd	17200	1000	18200	0.38%	17452	1000	18452	0.38%	1.38%
li) Overseas	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	
B) Individuals	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	
l) Individual Shareholders Holding Nomimnal Share Capital Upto Rs.1 Lakh	NIL	3000	3000	0.06%	NIL	3000	3000	0.06%	0.00%
li) Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
C) Others (public Shareholding)	82100	886200	968300	20.00%	175848	790200	966048	19.96%	-0.23%
Non Resident Indians	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Overseas Corporate Bodies	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Foreign Nationals	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Cearing Members	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Trusts	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Foreign Bodies- D R	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Sub Total (b) (2):-	99300	890200	989500	20.44%	193300	794200	987500	20.40%	-0.20%
Total Public Shareholding (b)= (b)(1)+(b)(2)	100800	890200	991000	20.47%	194800	796200	991000	20.47%	0.00%
C. Shares held by Custodian for GDRs & ADRs	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Grand Total (a+b+c)	100800	4739830	4840630	100.00%	3324220	1516410	4840630	100.00%	NIL

B) Shareholding of Promoter-

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	HEMANT MOTILAL RATHI	811070	16.76%	NIL	811070	16.76%	NIL	NIL
2	UMESH LAXMINARAYAN RATHI	558920	11.55%	NIL	558920	11.55%	NIL	NIL
3	ANAND HEMANT RATHI	398750	8.24%	NIL	398750	8.24%	NIL	NIL
4	APARNA UMESH RATHI	577955	11.94%	NIL	577955	11.94%	NIL	NIL
5	SHANTA LAXMINARAYAN RATHI	223890	4.63%	NIL	223890	4.63%	NIL	NIL
6	KANTA HEMANT RATHI	553965	11.44%	NIL	553965	11.44%	NIL	NIL
7	YASH UMESH RATHI	218420	4.51%	NIL	218420	4.51%	NIL	NIL
8	ROHAN UMESH RATHI	185200	3.83%	NIL	185200	3.83%	NIL	NIL
9	HEMANT BUILDERS PVT. LTD (ASSOCIATE COMPANY)	1000	0.02%	NIL	1000	0.02%	NIL	NIL
10	HEMANT RATHI(HUF)	158730	3.28%	NIL	158730	3.28%	NIL	NIL
11	UMESH RATHI(HUF)	158730	3.28%	NIL	158730	3.28%	NIL	NIL
12	MEGHA ANAND RATHI	3000	0.06%	NIL	3000	0.06%	NIL	NIL
	Total	3849630	79.53%	NIL	3849630	79.53%	NIL	NIL

C) Change in Promoters' Shareholding (please specify, if there is no change):

There is no change in promoters shareholding

D) Shareholding Pattern of top ten Shareholders:

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	3A FINANCIAL SERVICES LTD At the beginning of the year	17200	0.36%	17200	0.36%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-148	0.00%
	At the end of the year	-	-	17052	0.35%
2	SANJAY DATTATRAYA PANSE At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
3	AGRAWAL RENU OMPRAKASH At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
4	AGRAWAL OMPRAKASH BHAJANLAL At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
5	BHADKAMKAR JYOTI SHANTANU At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
6	BHADKAMKAR CHAITANYA SHANTANU At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the			----	----

	reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):				
	At the end of the year			10000	0.21%
7	BHADKAMKAR MIHIR SHANTANU At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
8	AHUJA GOPAL BHAGWANDAS At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
9	WAHI DEVENDERKUMAR VIDYASAGAR At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%
10	WAHI VIDYASAGAR BANSIDHAR At the beginning of the year	10000	0.21%	10000	0.21%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	----
	At the end of the year			10000	0.21%

E) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	UMESH LAXMINARAYAN RATHI - MANAGING DIRECTOR At the beginning of the year	558920	11.55%	558920	11.55%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):			-	-
	At the end of the year			558920	11.55%
2	HEMANT MOTILAL RATHI- DIRECTOR At the beginning of the year	811070	16.76%	811070	16.76%

	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):			-	-
	At the end of the year			811070	16.76%
3	ANAND HEMANT RATHI -DIRECTOR At the beginning of the year	398750	8.24%	398750	8.24%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):			-	-
	At the end of the year			398750	8.24%
4	SHARAD DEEPCHAND BEDMUTHA – DIRECTOR At the beginning of the year	3000	0.06%	3000	0.06%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):			-	-
	At the end of the year			3000	0.06%
5	AVINASH DATTATREYA JOSHI-DIRECTOR At the beginning of the year	2500	0.05%	2500	0.05%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):			--	----
	At the end of the year			2500	0.05%

V) **INDEBTEDNESS** -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amount in ₹ lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	27,30,36,745	2,39,07,301	-	30,09,72,512
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	27,30,36,745	2,39,07,301	-	30,09,72,512
Change in Indebtedness during the financial year				
* Addition	11,07,32,845	-	-	11,07,32,845
* Reduction	-	-14,82,567	-	-14,82,567
Net Change	117025596	-14,82,567	-	10,92,50,278
Indebtedness at the end of the financial year				
i) Principal Amount	38,37,69,590	2,24,24,734	-	40,61,94,324
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	38,37,69,590	2,24,24,734	-	40,61,94,324

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amount in ₹ lakhs)

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager				Total Amount
		Hemant M. Rathi	Umesh I. Rathi	Anand H. Rathi	D M Bacchav	
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	24.00	24.00	24.00	8.86	80.87
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961					
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961					
2	Stock Option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission - as % of profit - others, specify...	-	-	-	-	-
5	Others – Meeting Fees	0.40	0.40	0.40	-	1.20
	Total (A)	24.40	24.40	24.40	8.86	82.07
	Ceiling as per the Act					

B. Remuneration to other directors:

(Amount in ₹ lakhs)

SN.	Particulars of Remuneration	Name of Directors				Total Amount
		Sharad Bedmutha	Avinash Joshi	Vilas Shinde	---	
1	Independent Directors					
	Fee for attending board committee meetings	0.30	0.20			0.50
	Commission					
	Others, please specify					
	Total (1)	0.30	0.20			0.50
2	Other Non-Executive Directors					
	Fee for attending board committee meetings					
	Commission					
	Others, please specify					
	Total (2)					
	Total (B)=(1+2)	0.30	0.20			0.50
	Total Managerial Remuneration					
	Overall Ceiling as per the Act					

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD: **Not Applicable**

SN	Particulars of Remuneration	Key Managerial Personnel			
		CEO	CS	CFO	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission				
	- as % of profit				
	others, specify...				
5	Others, please specify				
	Total				

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					